

09-04-01

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## UTILITY PATENT APPLICATION TRANSMITTAL

Under Small Entity Status  
(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

STAR-0003

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Walter J. Sherwood, Jr.,  
entitled FILTER SYSTEM AND PARTICULATE FILTER UNIT THEREFOR, for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional (X) Continuation-in-part (CIP)

of prior Application No. 09/631794, filed on 8/3/2000.

(X) A statement claiming priority under 35 USC § 120 has been added to the specification.

(X) Applicant claims small entity status. See 37 CFR 1.27.

Enclosed are:

(X) Specification; 34 Total Pages. (X) Drawing(s); 10 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed. ( ) Unsigned. ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney.

(X) Return Receipt Postcard.

( ) Associate Power of Attorney.

(X) A Check in the amount of \$ 565.00 for the Filing Fee.

( ) Preliminary Amendment.

( ) Information Disclosure Statement and Form PTO-1449.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

( ) Statement(s) of Status as a Small Entity.

( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

(X) Other: Recordation Form Cover Sheet, Assignment, Check for \$40 recording fee

## CLAIMS AS FILED

FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	30	10	\$9.00	\$90.00
Independent Claims	6	3	\$40.00	\$120.00
Multiple Dependent Claim Fee (if applicable)				\$0.00
Assignment Recording Fee (if applicable)				\$0.00
Basic Filing Fee				\$355.00
Total Filing Fee				\$565.00

Please charge \$ ---- to Deposit Account No. 500999 pursuant to 37 CFR § 1.25. At any time during the pendency of this application,  
the Commissioner is hereby authorized to charge any fees required or credit any overpayment to this Deposit Account. A duplicate copy of  
this sheet is enclosed for fee processing against this Deposit Account.

Respectfully submitted,

By: Spencer K. Warnick

Spencer K. Warnick, Attorney of Record, Reg. No. 40398

Date:

Correspondence Address:



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I hereby certify that this is being deposited with the U.S. Postal Service  
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